

## Vector Operators: Grad, Div and Curl

We introduce three field operators which reveal interesting collective field properties.

- the **gradient** of a scalar field,
- the **divergence** of a vector field, and
- the **curl** of a vector field.

There are two points to get over about each:

- The mechanics of taking the grad, div or curl, for which you will need to brush up your multivariate calculus.
- The underlying physical meaning.

### The gradient of a scalar field

If  $U(x, y, z)$  is a scalar field, ie a scalar function of position  $\underline{r} = \langle x, y, z \rangle$  in 3 dimensions, then

its **gradient** at any point is defined in Cartesian co-ordinates by  $gradU = \frac{\partial U}{\partial x} \underline{i} + \frac{\partial U}{\partial y} \underline{j} + \frac{\partial U}{\partial z} \underline{k}$ .

It is usual to define the **vector operator** which is called “del” or “nabla”.

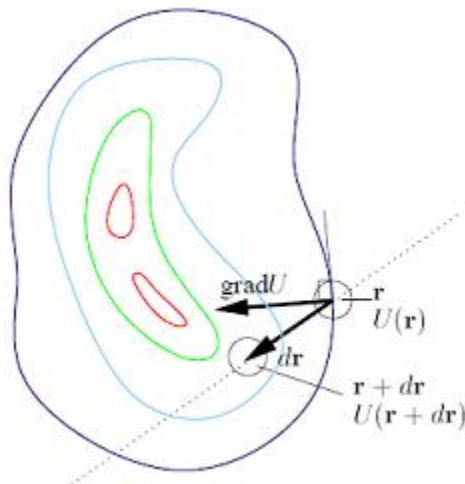
$$\nabla = \frac{\partial}{\partial x} \underline{i} + \frac{\partial}{\partial y} \underline{j} + \frac{\partial}{\partial z} \underline{k}.$$

Then  $gradU \equiv \nabla U$ .

**Note:**  $\nabla U$  is a vector field.

We can see that the gradient of a scalar field tends to point in the direction of greatest change of the field. .

### The significance of grad



Here  $\underline{r}$  in some scalar field  $U$  and we move an infinitesimal distance  $d\underline{r}$  we know that the change in  $U$  is  $dU = \frac{\partial U}{\partial x} dx + \frac{\partial U}{\partial y} dy + \frac{\partial U}{\partial z} dz$

But we know that  $d\underline{r} = \langle dx, dy, dz \rangle$  and  $\nabla U = \frac{\partial U}{\partial x} \underline{i} + \frac{\partial U}{\partial y} \underline{j} + \frac{\partial U}{\partial z} \underline{k}$  so that the change in  $U$  is also given by the scalar product  $dU = \nabla U \cdot d\underline{r}$ . Now divide both sides by  $ds$

$$\frac{dU}{ds} = \nabla U \cdot \frac{d\underline{r}}{ds}$$

But remember that  $|d\underline{r}| = ds$  so  $\frac{d\underline{r}}{ds}$  is a unit vector in the direction of  $d\underline{r}$ .

So  $\text{grad}U$  has the property that the rate of change of  $U$  wrt distance in a particular direction ( $\hat{d}$ ) is the projection of  $\text{grad}U$  onto that direction (or the component of  $\text{grad}U$  in that direction).

In other words  $\text{grad}U$  is orthogonal to the tangent to any curve lying in the surface  $\{U = c\}$ . Therefore it defines the normal vector to the level surface.

Proof:

The quantity  $dU/ds$  is called a **directional derivative**, but note that in general it has a different value for each direction, and so has no meaning until you specify the direction.

## Applications of gradient

### 1. Equation for the tangent plane to a surface

$\nabla f$  is perpendicular to level surfaces of functions  $f(x, y, z)$ . So therefore if we can write a surface as  $f(x, y, z) = c$ , then the unit normal is

$$\hat{n} = \frac{\nabla f}{|\nabla f|}$$

So the equation for the tangent plane at a point  $P$  with position vector  $\underline{r} = \underline{r}_0$  is

$$(\underline{r} - \underline{r}_0) \cdot \hat{n} = 0 \quad \Rightarrow \quad (\underline{r} - \underline{r}_0) \cdot \nabla f|_{r=r_0} = 0$$

**Example:** Show that, the equation for the tangent plane to a sphere of radius  $a$  at a point  $(x_0, y_0, z_0)$  is  $xx_0 + yy_0 + zz_0 = a^2$

### 2. Stationary points of a Surface

$\nabla f = 0$  defines the points at which the function  $f(x, y, z)$  has its stationary points =  $(x_0,$

$y_0, z_0$ ) such that

$$\nabla f = \left( \frac{\partial f}{\partial x}, \frac{\partial f}{\partial y}, \frac{\partial f}{\partial z} \right) \Big|_{x=x_0, y=y_0, z=z_0} = 0 \implies \frac{\partial f}{\partial x} = 0, \frac{\partial f}{\partial y} = 0, \frac{\partial f}{\partial z} = 0$$

(Conditions for stationary points)

In 2D, we know there are three kinds of stationary points. Maxima, minima and saddles.

### 3. Force and potential energy

We know for 1D that  $\mathbf{F} = -\frac{dV}{dx}$  where  $V$  is potential energy and  $\mathbf{F}$  applied force. How does this apply in more dimensions?

$$\mathbf{F} = -\text{grad } V$$

i.e. force is in the direction of maximum increase in potential.

**Example:** A spaceship moves in the gravitational field of a planet with gravitational potential  $\phi = \frac{k}{|r|}$   $k$  is a constant.

Calculate the magnitude of the force acting on the ship at a distance  $r$  from the centre of the planet.

#### The divergence of a vector field

The divergence computes a scalar quantity from a vector field by differentiation.

$$\begin{aligned} \text{Divergence: } \text{div}(\underline{v}) = \nabla \cdot \underline{v} &= \left( \frac{\partial}{\partial x}, \frac{\partial}{\partial y}, \frac{\partial}{\partial z} \right) \cdot (v_1, v_2, v_3) \\ &= \frac{\partial v_1}{\partial x} + \frac{\partial v_2}{\partial y} + \frac{\partial v_3}{\partial z} \end{aligned}$$

Notice that the divergence of a vector field is a scalar field

#### The significance of $\text{div}$

Consider a vector field, water flow, and denote it by  $\underline{v}(\underline{r})$ . This vector has magnitude equal to the mass of water crossing a unit area perpendicular to the direction of  $\underline{v}$  per unit time.

Now take an infinitesimal volume element  $dV$  and figure out the balance of the flow of  $\underline{v}$  in and out of  $dV$ .

To be specific, consider the volume element  $dV = dx dy dz$  in Cartesian co-ordinates.

So we see that,

The divergence of a vector field represents the flux generation per unit volume at each point of the field.

## The curl of a vector field

$$\text{curl}(\underline{v}) = \nabla \times \underline{v} = \begin{vmatrix} \underline{i} & \underline{j} & \underline{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ v_1 & v_2 & v_3 \end{vmatrix}$$

### Some definitions involving div, curl and grad

- A vector field with zero divergence is said to be **solenoidal**.
- A vector field with zero curl is said to be **irrotational**
- A scalar field with zero gradient is said to be **constant**.

**Definition:** A vector field is said to be (Solenoidal) **Incompressible** if  $\text{div } \underline{v} = 0$  at all points. i.e. for all values of x,y,z is called solenoidal vector field. Conversely, if  $\underline{A}$  is a solenoidal vector field then there exist vector  $\underline{G}$  so that  $\underline{A} = \text{curl } \underline{G}$ .  $\underline{G}$  is vector potential of  $\underline{A}$

**Example:** This has a natural interpretation in fluid mechanics, where the equation of continuity states that the fluid density  $\rho(r)$  (a scalar field) and the fluid velocity  $\underline{v}(r)$  are linked by the continuity equation

$$\frac{\partial \rho}{\partial t} + \text{div} \rho \underline{v} = 0$$

So that if the fluid has constant density (e.g. water, to good approximation, but not air) we have  $\rho = \rho_0 = \text{const.}$ , and hence  $\text{div} \rho \underline{v} = \rho_0 \text{div} \underline{v} = 0 \Rightarrow \text{div} \underline{v} = 0$

Incompressible vector fields are also called **solenoidal**

### Definition:

A force field  $F$  that satisfies  $\text{curl} F = 0$  is said to be conservative.

More generally it can be shown that  $\text{curl} F = 0$  if and only if  $F = \text{grad} \phi$ , for some scalar field  $\phi$ .  $\phi$  is called the **scalar potential** of a **conservative vector field**.

### Proof:

First, we show that  $\underline{v} = \text{grad} \phi \Rightarrow \text{curl} \underline{v} = 0$

This is by direct calculation:  $\underline{v} = \frac{\partial \phi}{\partial x} \underline{i} + \frac{\partial \phi}{\partial y} \underline{j} + \frac{\partial \phi}{\partial z} \underline{k}$

$$\text{So, } \text{curl} \underline{v} = \begin{vmatrix} \underline{i} & \underline{j} & \underline{k} \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ \frac{\partial \phi}{\partial x} & \frac{\partial \phi}{\partial y} & \frac{\partial \phi}{\partial z} \end{vmatrix}$$

$$\begin{aligned}
&= \left( \frac{\partial^2 \phi}{\partial z \partial y} - \frac{\partial^2 \phi}{\partial y \partial z} \right) \underline{i} + \left( \frac{\partial^2 \phi}{\partial x \partial z} - \frac{\partial^2 \phi}{\partial z \partial x} \right) \underline{j} + \left( \frac{\partial^2 \phi}{\partial y \partial x} - \frac{\partial^2 \phi}{\partial x \partial y} \right) \underline{k} \\
&= 0 \underline{i} + 0 \underline{j} + 0 \underline{k} = 0
\end{aligned}$$

Next, we show that  $\text{curl } \underline{v} = 0 \Rightarrow \underline{v} = \nabla \phi$

for some scalar field  $\phi(r)$

$$\text{Now } \text{curl } \underline{v} = 0 \Rightarrow \frac{\partial v_3}{\partial y} - \frac{\partial v_2}{\partial z} = 0 \quad (2.3)$$

$$\frac{\partial v_1}{\partial z} - \frac{\partial v_3}{\partial x} = 0 \quad (2.4)$$

$$\frac{\partial v_2}{\partial x} - \frac{\partial v_1}{\partial y} = 0 \quad (2.5)$$

Now, taking  $\frac{\partial}{\partial x}$  of (2.3) and  $\frac{\partial}{\partial y}$  of (2.4) we get two expressions for  $\frac{\partial^2 v_3}{\partial x \partial y}$ . Setting these equal

$$\text{gives } \frac{\partial^2 v_2}{\partial z \partial x} = \frac{\partial^2 v_1}{\partial z \partial y} := \frac{\partial^3 \phi}{\partial x \partial y \partial z} \quad (2.6)$$

For some scalar function  $\phi(x, y, z)$ . This can only be true if

$$\underline{v}_1 = \frac{\partial \phi}{\partial x} + b_1' y + c_1' z + d_1' \quad \text{and} \quad \underline{v}_2 = \frac{\partial \phi}{\partial y} + b_2' y + c_2' z + d_2'$$

For some functions  $b_1'(x), c_1'(x), d_1'(x)$  and  $b_2'(y), c_2'(y), d_2'(y)$ . Where  $'$  stands for “differentiate” But, by redefining  $\phi$  to be

$$\phi \rightarrow \phi - b_1 y - c_1 z - d_1 x - b_2 x y - c_2 y z - d_2 y$$

We can without loss of generality choose  $b_{1,2} = c_{1,2} = d_{1,2} = 0$  and

$$\frac{\partial^2 v_3}{\partial x \partial y} = \frac{\partial^2 v_2}{\partial x \partial z} := \frac{\partial^3 \phi}{\partial x \partial y \partial z}$$

So that, without loss of generality  $v_2 = \frac{\partial \phi}{\partial y}, v_3 = \frac{\partial \phi}{\partial z}$

$$\Rightarrow (v_1, v_2, v_3) = \left( \frac{\partial \phi}{\partial x}, \frac{\partial \phi}{\partial y}, \frac{\partial \phi}{\partial z} \right) = \nabla \phi.$$

### Example

Show that the following vector fields are conservative, and find their scalar fields so that

$$\underline{F} = \nabla \phi$$

$$(i) \underline{F} = (2xy + z^3) \underline{i} + x^2 \underline{j} + 3xz^2 \underline{k}$$

$$(ii) \underline{F} = 2x \underline{i} + 4y \underline{j} + 8z \underline{k}$$